

- 1 1. (Currently Amended) An apparatus comprising:
2 at least one processor;
3 a memory coupled to the at least one processor;
4 a plurality of object oriented classes residing in the memory, at least one of the
5 plurality of object oriented classes including state data that indicates a protected class;
6 a catalog of allowed classes residing in the memory, the catalog of allowed classes
7 including all classes that are authorized to access at least one protected class; and
8 a state/domain checker residing in the memory and executed by the at least one
9 processor, the state/domain checker performing a plurality of checks when each of the
10 plurality of object oriented classes is loaded, the plurality of checks determining whether
11 a class being loaded accesses the at least one protected class, and if so, determining
12 whether the class being loaded is included in the catalog of allowed classes, and
13 generating an exception if the class being loaded is not included in the catalog of allowed
14 classes, wherein the state/domain checker further performs at least one runtime check
15 when a method that may reference a dynamically defined class is invoked and when a
16 function is invoked that could potentially access a method on one or more of the plurality
17 of classes.
- 1 2. (Cancelled)

1 3. (Previously Presented) An apparatus comprising:
2 at least one processor;
3 a memory coupled to the at least one processor;
4 a plurality of object oriented classes residing in the memory, at least one of the
5 plurality of object oriented classes including state data that indicates a protected class;
6 a state/domain checker residing in the memory and executed by the at least one
7 processor, the state/domain checker performing a plurality of checks when each of the
8 plurality of object oriented classes is loaded, the plurality of checks determining whether
9 a class being loaded accesses at least one protected class, and if so, determining whether
10 the class being loaded is authorized to access the at least one protected class, and
11 generating an exception if the class being loaded is not authorized to access the at least
12 one protected class, wherein the state/domain checker further performs at least one
13 runtime check when a method that may reference a dynamically defined class is invoked
14 and when a function is invoked that could potentially access a method on one or more of
15 the plurality of classes, wherein the at least one runtime check includes a check to
16 determine whether a Java reflection method is invoked by a referencing class on a
17 referenced class, and if a Java reflection method is invoked by the referencing class, and
18 if the referenced class implements a private domain interface, and if the referencing class
19 does not implement a system state interface, generating an error.

1 4. (Previously Presented) An apparatus comprising:
2 at least one processor;
3 a memory coupled to the at least one processor;
4 a plurality of object oriented classes residing in the memory, at least one of the
5 plurality of object oriented classes including state data that indicates a protected class;
6 a state/domain checker residing in the memory and executed by the at least one
7 processor, the state/domain checker performing a plurality of checks when each of the
8 plurality of object oriented classes is loaded, the plurality of checks determining whether
9 a class being loaded accesses at least one protected class, and if so, determining whether
10 the class being loaded is authorized to access the at least one protected class, and
11 generating an exception if the class being loaded is not authorized to access the at least
12 one protected class, wherein the state/domain checker further performs at least one
13 runtime check when a method that may reference a dynamically defined class is invoked
14 and when a function is invoked that could potentially access a method on one or more of
15 the plurality of classes, wherein the at least one runtime check includes a check to
16 determine whether a Java Native Interface (JNI) function is invoked by an external
17 program to access a protected class, and if the external program invokes a JNI function to
18 access a protected class, and the external program is not running in system state,
19 generating an error.

1 5. (Original) The apparatus of claim 1 wherein a class is a protected class if the class is
2 defined as a private domain class or a system state class.

1 6. (Previously Presented) The apparatus of claim 1 wherein the plurality of checks
2 includes a check during class verification that determines whether a class being verified
3 implements a private domain interface or a system state interface, and if the class being
4 verified implements a private domain interface or a system state interface, and if the class
5 being verified is not included in the catalog of allowed classes, generating an error.

1 7. (Previously Presented) The apparatus of claim 1 wherein the catalog of allowed
2 classes is generated during a build process that packages the plurality of classes together
3 into an installable form.

1 8. (Original) The apparatus of claim 1 wherein the plurality of checks includes a check
2 during class preparation that determines whether a class being prepared has a superclass,
3 and if the class being prepared has a superclass, and if the superclass implements a
4 private domain interface or a system state interface, and if the class being prepared does
5 not implement at least the same private domain interface or system state interface as the
6 superclass, generating an error.

1 9. (Original) The apparatus of claim 1 wherein the plurality of checks includes a check
2 during class resolution that determines whether a class being resolved to by a referencing
3 class implements a private domain interface, and if the class being resolved to by the
4 referencing class implements the private domain interface, and if the referencing class
5 does not implement a system state interface, generating an error.

1 10. (Original) The apparatus of claim 9 wherein the check during class resolution is
2 performed before runtime when a class is loaded.

1 11. (Original) The apparatus of claim 9 wherein the check during class resolution is
2 performed at runtime when a method on the class being resolved to is invoked.

1 12. (Original) An apparatus comprising:
2 at least one processor;
3 a memory coupled to the at least one processor;
4 a plurality of Java classes residing in the memory, at least one of the plurality of
5 Java classes including state data that indicates a protected class;
6 a Java Virtual Machine (JVM) residing in the memory and executed by the at least
7 one processor;
8 a state/domain checker residing in the memory and executed by the at least one
9 processor, the state/domain checker performing the following checks:
10 a first check during class verification that determines whether a class being
11 verified implements a private domain interface or a system state interface, and if
12 the class being verified implements a private domain interface or a system state
13 interface, and if the class being verified is not included in a catalog of allowed
14 classes that is generated during a JVM build process that packages the plurality of
15 classes together into an installable form, throwing an exception;
16 a second check during class preparation that determines whether a class
17 being prepared has a superclass, and if the class being prepared has a superclass,
18 and if the superclass implements a private domain interface or a system state
19 interface, and if the class being prepared does not implement at least the same
20 private domain interface or system state interface as the superclass, throwing an
21 exception;
22 a third check during class resolution that determines whether a class being
23 resolved to by a referencing class implements a private domain interface, and if
24 the class being resolved to by the referencing class implements the private domain
25 interface, and if the referencing class does not implement a system state interface,
26 throwing an exception;

(claim 12 continued)

27 a fourth check to determine whether a Java reflection method is invoked
28 by a referencing class on a referenced class, and if a Java reflection method is
29 invoked by the referencing class, and if the referenced class implements a private
30 domain interface, and if the referencing class does not implement a system state
31 interface, throwing an exception; and

32 a fifth check to determine whether a Java Native Interface (JNI) function is
33 invoked by a program external to the JVM to access a protected class at runtime,
34 and if the program invokes a JNI function to access a protected class, and the
35 program is not running in system state, throwing an exception.

1 13. (Currently Amended) A method for creating and enforcing protected system level
2 Java code comprising the steps of:
3 providing a catalog of allowed classes that includes all classes that are authorized
4 to access at least one protected class;
5 loading a plurality of Java classes, each of the plurality of Java classes that is
6 protected including state data that indicates a protected class; [[and]]
7 performing a plurality of checks when each of the plurality of Java classes is
8 loaded, the plurality of checks determining whether the class being loaded accesses at
9 least one protected class, and if so, determining whether the class being loaded is
10 included in the catalog of allowed classes, and generating an exception if the class being
11 loaded is not included in the catalog of allowed classes; and
12 performing at least one runtime check when a method that may reference a
13 dynamically defined class is invoked and when a function is invoked that could
14 potentially access a method on one or more of the plurality of classes.

1 14. (Cancelled)

1 15. (Previously Presented) A method for creating and enforcing protected system level
2 Java code comprising the steps of:
3 loading a plurality of Java classes, each of the plurality of Java classes that is
4 protected including state data that indicates a protected class;
5 performing a plurality of checks when each of the plurality of Java classes is
6 loaded, the plurality of checks determining whether the class being loaded accesses at
7 least one protected class, and if so, determining whether the class being loaded is
8 authorized to access the at least one protected class, and generating an exception if the
9 class being loaded is not authorized to access the at least one protected class,
10 performing at least one runtime check when a method that may reference a
11 dynamically defined class is invoked and when a function is invoked that could
12 potentially access a method on one or more of the plurality of classes, wherein the at least
13 one runtime check includes a check to determine whether a Java reflection method is
14 invoked by a referencing class on a referenced class, and if a Java reflection method is
15 invoked by the referencing class, and if the referenced class implements a private domain
16 interface, and if the referencing class does not implement a system state interface,
17 generating an error.

1 16. (Previously Presented) A method for creating and enforcing protected system level
2 Java code comprising the steps of:
3 loading a plurality of Java classes, each of the plurality of Java classes that is
4 protected including state data that indicates a protected class;
5 performing a plurality of checks when each of the plurality of Java classes is
6 loaded, the plurality of checks determining whether the class being loaded accesses at
7 least one protected class, and if so, determining whether the class being loaded is
8 authorized to access the at least one protected class, and generating an exception if the
9 class being loaded is not authorized to access the at least one protected class,
10 performing at least one runtime check when a method that may reference a
11 dynamically defined class is invoked and when a function is invoked that could
12 potentially access a method on one or more of the plurality of classes, wherein the at least
13 one runtime check includes a check to determine whether a Java Native Interface (JNI)
14 function is invoked by an external program to access a protected class, and if the external
15 program invokes a JNI function to access a protected class, and the program is not
16 running in system state, generating an error.

1 17. (Original) The method of claim 13 wherein a class is a protected class if the class is
2 defined as a private domain class or a system state class.

1 18. (Previously Presented) The method of claim 13 wherein the plurality of checks
2 includes a check during class verification that determines whether a class being verified
3 implements a private domain interface or a system state interface, and if the class being
4 verified implements a private domain interface or a system state interface, and if the class
5 being verified is not included in the catalog of allowed classes, generating an error.

1 19. (Previously Presented) The method of claim 13 wherein the catalog of allowed classes
2 is generated during a JVM build process that packages the plurality of classes together
3 into an installable form.

1 20. (Original) The method of claim 13 wherein the plurality of checks includes a check
2 during class preparation that determines whether a class being prepared has a superclass,
3 and if the class being prepared has a superclass, and if the superclass implements a
4 private domain interface or a system state interface, and if the class being prepared does
5 not implement at least the same private domain interface or system state interface as the
6 superclass, generating an error.

1 21. (Original) The method of claim 13 wherein the plurality of checks includes a check
2 during class resolution that determines whether a class being resolved to by a referencing
3 class implements a private domain interface, and if the class being resolved to by the
4 referencing class implements the private domain interface, and if the referencing class
5 does not implement a system state interface, generating an error.

1 22. (Original) The method of claim 21 wherein the check during class resolution is
2 performed before runtime when a class is loaded by a Java Virtual Machine (JVM).

1 23. (Original) The apparatus of claim 21 wherein the check during class resolution is
2 performed at runtime when a method on the class being resolved to is invoked.

1 24. (Original) A method for creating and enforcing protected system level Java code
2 comprising the steps of:
3 running a Java Virtual Machine (JVM);
4 the JVM loading a plurality of Java classes, each of the plurality of Java classes
5 that is protected including state data that indicates a protected class;
6 performing a first check during class verification that determines whether a class
7 being verified implements a private domain interface or a system state interface, and if
8 the class being verified implements a private domain interface or a system state interface,
9 and if the class being verified is not included in a catalog of allowed classes that is
10 generated during a JVM build process that packages the plurality of classes together into
11 an installable form, throwing an exception;
12 performing a second check during class preparation that determines whether a
13 class being prepared has a superclass, and if the class being prepared has a superclass, and
14 if the superclass implements a private domain interface or a system state interface, and if
15 the class being prepared does not implement at least the same private domain interface or
16 system state interface as the superclass, throwing an exception;
17 performing a third check during class resolution that determines whether a class
18 being resolved to by a referencing class implements a private domain interface, and if the
19 class being resolved to by the referencing class implements the private domain interface,
20 and if the referencing class does not implement a system state interface, throwing an
21 exception;
22 performing a fourth check to determine whether a Java reflection method is
23 invoked by a referencing class on a referenced class at runtime, and if a Java reflection
24 method is invoked by the referencing class, and if the referenced class implements a
25 private domain interface, and if the referencing class does not implement a system state
26 interface, throwing an exception; and

(claim 24 continued)

27 performing a fifth check to determine whether a Java Native Interface (JNI)
28 function is invoked by a program external to the JVM to access a protected class at
29 runtime, and if the program invokes a JNI function to access a protected class, and the
30 program is not running in system state, throwing an exception.

1 25. (Currently Amended) A method for building a computer program that includes system
2 level code, the method comprising the steps of:
3 generating Java source code for a plurality of object oriented classes, each of the
4 plurality of object oriented classes that is protected including state data defined in the
5 Java source code that indicates a protected class;
6 identifying from the Java source code for each object oriented class which of the
7 plurality of object oriented classes are protected;
8 compiling the Java source code for the plurality of object oriented classes, thereby
9 creating a plurality of class files that correspond to the plurality of object oriented classes;
10 creating a catalog of allowable classes, the catalog including all protected classes;
11 compiling source code for a Java Virtual Machine (JVM) to produce an
12 executable JVM;
13 creating installable media that includes the executable JVM, the catalog of
14 allowable classes, and the class files; and
15 performing at least one runtime check when a method that may reference a
16 dynamically defined class is invoked and when a function is invoked that could
17 potentially access a method on one or more of the plurality of object oriented classes.

1 26. (Currently Amended) A computer-readable program product for checking a class
2 being loaded, the program product comprising:
3 a state/domain checker that performs a plurality of checks when each of a plurality
4 of object oriented classes is loaded, the plurality of checks determining whether a class
5 being loaded accesses at least one protected class, and if so, determining whether the
6 class being loaded is included in a catalog of allowed classes that includes all classes that
7 are authorized to access at least one protected class, and generating an exception if the
8 class being loaded is not included in the catalog of allowed classes, wherein the
9 state/domain checker further performs at least one runtime check when a method that may
10 reference a dynamically defined class is invoked and when a function is invoked that
11 could potentially access a method on one or more of the plurality of classes; and
12 computer readable signal bearing media bearing the state/domain checker.

1 27. (Original) The program product of claim 26 wherein said signal bearing media
2 comprises recordable media.

1 28. (Original) The program product of claim 26 wherein said signal bearing media
2 comprises transmission media.

1 29. (Cancelled)

1 30. (Currently Amended) A computer-readable program product for checking a class
2 being loaded, the program product comprising:
3 a state/domain checker that performs a plurality of checks when each of a plurality
4 of object oriented classes is loaded, the plurality of checks determining whether a class
5 being loaded accesses at least one protected class, and if so, determining whether the
6 class being loaded is authorized to access the at least one protected class, and generating
7 an exception if the class being loaded is not authorized to access the at least one protected
8 class, wherein the state/domain checker further performs at least one runtime check when
9 a method that may reference a dynamically defined class is invoked and when a function
10 is invoked that could potentially access a method on one or more of the plurality of
11 classes, wherein the at least one runtime check includes a check to determine whether a
12 Java reflection method is invoked by a referencing class on a referenced class, and if a
13 Java reflection method is invoked by the referencing class, and if the referenced class
14 implements a private domain interface, and if the referencing class does not implement a
15 system state interface, generating an error; and
16 computer readable signal bearing media bearing the state/domain checker.

1 31. (Currently Amended) A computer-readable program product for checking a class
2 being loaded, the program product comprising:
3 a state/domain checker that performs a plurality of checks when each of a plurality
4 of object oriented classes is loaded, the plurality of checks determining whether a class
5 being loaded accesses at least one protected class, and if so, determining whether the
6 class being loaded is authorized to access the at least one protected class, and generating
7 an exception if the class being loaded is not authorized to access the at least one protected
8 class, wherein the state/domain checker further performs at least one runtime check when
9 a method that may reference a dynamically defined class is invoked and when a function
10 is invoked that could potentially access a method on one or more of the plurality of
11 classes, wherein the at least one runtime check includes a check to determine whether a
12 Java Native Interface (JNI) function is invoked by an external program to access a
13 protected class, and if the external program invokes a JNI function to access a protected
14 class, and the external program is not running in system state, generating an error; and
15 computer readable signal bearing media bearing the state/domain checker.

1 32. (Original) The program product of claim 26 wherein a class is a protected class if the
2 class is defined as a private domain class or a system state class.

1 33. (Previously Presented) The program product of claim 26 wherein the plurality of
2 checks includes a check during class verification that determines whether a class being
3 verified implements a private domain interface or a system state interface, and if the class
4 being verified implements a private domain interface or a system state interface, and if
5 the class being verified is not included in the catalog of allowed classes, generating an
6 error.

1 34. (Previously Presented) The program product of claim 26 wherein the catalog of
2 allowed classes is generated during a build process that packages the plurality of classes
3 together into an installable form.

1 35. (Original) The program product of claim 26 wherein the plurality of checks includes a
2 check during class preparation that determines whether a class being prepared has a
3 superclass, and if the class being prepared has a superclass, and if the superclass
4 implements a private domain interface or a system state interface, and if the class being
5 prepared does not implement at least the same private domain interface or system state
6 interface as the superclass, generating an error.

1 36. (Original) The program product of claim 26 wherein the plurality of checks includes a
2 check during class resolution that determines whether a class being resolved to by a
3 referencing class implements a private domain interface, and if the class being resolved to
4 by the referencing class implements the private domain interface, and if the referencing
5 class does not implement a system state interface, generating an error.

1 37. (Original) The program product of claim 36 wherein the check during class resolution
2 is performed before runtime when a class is loaded.

1 38. (Original) The program product of claim 36 wherein the check during class resolution
2 is performed at runtime when a method on the class being resolved to is invoked.

1 39. (Currently Amended) A computer-readable program product for checking a class
2 being loaded, the program product comprising:
3 (A) a plurality of Java classes, at least one of the plurality of Java classes
4 including state data that indicates a protected class;
5 (B) a Java Virtual Machine (JVM) executable program;
6 (C) a state/domain checker that performs the following checks:
7 (C1) a first check during class verification that determines whether a class
8 being verified implements a private domain interface or a system state interface,
9 and if the class being verified implements a private domain interface or a system
10 state interface, and if the class being verified is not included in a catalog of
11 allowed classes that is generated during a JVM build process that packages the
12 plurality of classes together into an installable form, throwing an exception;
13 (C2) a second check during class preparation that determines whether a
14 class being prepared has a superclass, and if the class being prepared has a
15 superclass, and if the superclass implements a private domain interface or a
16 system state interface, and if the class being prepared does not implement at least
17 the same private domain interface or system state interface as the superclass,
18 throwing an exception;
19 (C3) a third check during class resolution that determines whether a class
20 being resolved to by a referencing class implements a private domain interface,
21 and if the class being resolved to by the referencing class implements the private
22 domain interface, and if the referencing class does not implement a system state
23 interface, throwing an exception;
24 (C4) a fourth check to determine whether a Java reflection method is
25 invoked by a referencing class on a referenced class at runtime, and if a Java
26 reflection method is invoked by the referencing class, and if the referenced class
27 implements a private domain interface, and if the referencing class does not
28 implement a system state interface, throwing an exception;

(claim 39 continued)

28 (C5) a fifth check to determine whether a Java Native Interface (JNI)
29 function is invoked by a program external to the JVM to access a protected class
30 at runtime, and if the program invokes a JNI function to access a protected class,
31 and the program is not running in system state, throwing an exception; and
32 (D) computer readable signal bearing media bearing the plurality of Java classes,
33 the JVM executable program, and the state/domain checker.

1 40. (Original) The program product of claim 39 wherein said signal bearing media
2 comprises recordable media.

1 41. (Original) The program product of claim 39 wherein said signal bearing media
2 comprises transmission media.

Please add the following new claims.

1 42. (New) The program product of claim 30 wherein said signal bearing media comprises
2 recordable media.

1 43. (New) The program product of claim 30 wherein said signal bearing media comprises
2 transmission media.

1 44. (New) The program product of claim 31 wherein said signal bearing media comprises
2 recordable media.

1 45. (New) The program product of claim 31 wherein said signal bearing media comprises
2 transmission media.

STATUS OF THE CLAIMS

Claims 1-41 were originally filed in this patent application. In response to the first office action dated 9/11/03, an amendment was filed on 12/10/03 that amended the specification, but did not amend any of the claims as originally filed. In response to the second office action dated 03/25/04, an amendment was filed on 06/25/04 that amended claims 1, 3, 4, 6, 7, 13, 15, 16, 18, 19, 26, 30, 32, 33 and 34. In the pending final office action, claims 26-41 were rejected under 35 U.S.C. §101 as being directed to non-statutory subject matter. Claims 1, 5-7, 13, 17-19, 25-28 and 32-34 were rejected under 35 U.S.C. §103(a) as being unpatentable over U.S. Patent No. 6,714,991 to Bak *et al.* (hereinafter “Bak”) in view of U.S. Patent No. 6,601,114 to Bracha *et al.* (hereinafter “Bracha”). Claims 2, 8-11, 14, 20-23, 29 and 35-38 were objected to as depending upon a rejected base claim, but would be allowable if properly rewritten in independent form. Claims 3, 4, 12, 15, 16 and 24 were allowed. In this amendment, claims 2, 14 and 29 have been cancelled, claims 1, 13, 25, 26, 30, 31 and 39 have been amended, and new claims 42-45 have been added. Claims 1, 3-13, 15-28 and 30-45 are currently pending.